

QUESTIONNAIRE

Know your Customer Know Your Customer - KYC

Subject to the Anti-Money Laundering and Counter-Terrorism Financing Law (*BiH Official Gazette*, no. 13/24), the Decision on Management of the Risk of Money Laundering and Terrorism Financing of the FBiH Agency (*FBiH Official Gazette*, no. 79/24) and the Decision on Management of the Risk of Money Laundering and Terrorism Financing of the FBiH Agency, the Decision on Management of the Risk of Money Laundering and Terrorism Financing of the RS Agency (*RS Official Gazette*, no. 22/24), Raiffeisen Bank d.d. Bosna i Hercegovina (the Bank) is required to the regularly update documentation and data on its customers.

We kindly ask you to respond to all the questions in this KYC Questionnaire, sign it and submit/send it to the Bank.

Part I
Information on authorised persons of a legal entity:

First name	Last name	Date and place of birth		Citizenship	Place of residence	Position	National ID number (JMBG) or other identification number (If available)	Name and number of the identification document, and the country of the issuer
		Date	Place					

Part II
Information on beneficial owner

Article 4 of the Law on Anti-Money Laundering and Counter-Terrorism Financing *BiH Official Gazette*, no. 13/24):

Definition of the beneficial owner:

A private individual who owns or controls a legal entity through direct or indirect ownership of 25% or more business shares, voting or other rights based on which such a person exercises the right to manage the legal entity, or has a dominant position in the management of the assets of the legal entity or ownership of 25% or more shares.

A private individual who indirectly provides or secures funds for a company and on the grounds of which it has the right to significantly influence the decision-making of the management body of the company when deciding on financing and business operations,

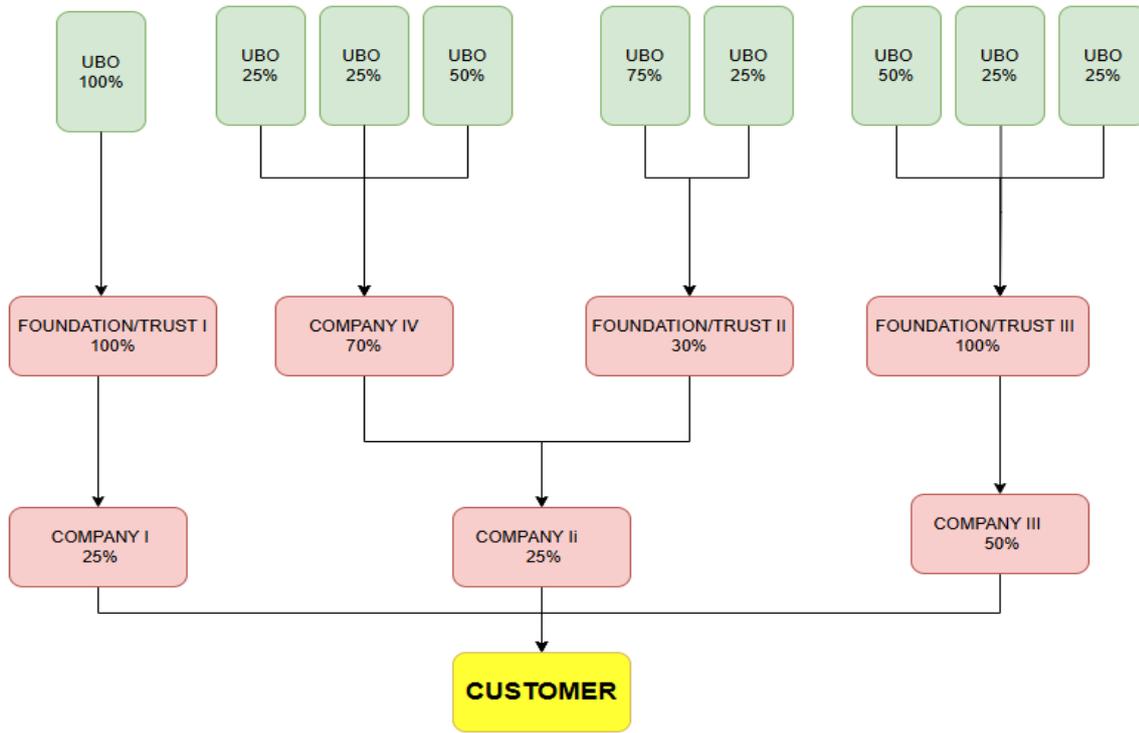
Any private individual or more such persons that ultimately own or control a legal entity.

We herewith confirm that there is no private individual who could be treated as the beneficial owner pursuant to the definition of the beneficial owner referred to in Article 4 of the Law on Anti-Money Laundering and Counter-Terrorism Financing *BiH Official Gazette, no. 13/24*, (check the box if it does not exist).

Please list all persons deemed to be beneficial owners:

First name	Last name	Date and place of birth		Sex	Citizenship	Country of Residence:	%	Ownership or control	National ID number (JMBG) or other identification number (if available)
		Date	Place						

Example of determining the beneficial owner:



Ownership structure:

Level 1: Legal Entity I, Legal Entity II, Legal Entity III

Level 2: Foundation I, Legal Entity IV, Foundation II, Foundation III

UBO: Ultimate Beneficial Owner

If the legal entity is listed on one of the stock exchanges, please state the full name of the stock exchange:

In case the legal entity is listed on one of the stock exchanges and if there is a shareholder who owns 25% or more of the shares, please answer the questions on the following pages:

List all persons deemed to be beneficial owners:

First name	Last name	Date and place of birth		Sex	Citizenship	Country of Residence:	%	Ownership or control	National ID number (JMBG) or other identification number (If available)
		Date	Place						

Part III
TRUST
We conduct business activities as follows:

For my own account	<input type="checkbox"/> YES	<input type="checkbox"/> NO	(check the box)
For the account of third parties	<input type="checkbox"/> YES	<input type="checkbox"/> NO	(check the box)

In case you conduct business activities on behalf of a third party, please list all third parties / persons:

Trustor(s):

Full name of trustor(s)	Date and place of birth		Citizenship	Country of Residence:
	Date	Place		

Name of trustor(s) Legal entity	Registration country and address	Administrative office country and address

In order to meet the legal requirements pursuant to the Anti-Money Laundering and Counter-Terrorism Financing (*BiH Official Gazette*, no. 13/24), you need to submit relevant documents (evidence of the existence of the trustor(s); the trust agreement, for private individuals: identification documentation of the trustor(s), for legal entities: significant supporting documents of the trustor(s)).

Part IV

Financial sanctions

The Bank is under the obligation to regularly apply financial and economic sanctions.

1) Does the legal entity have business partners (e.g. suppliers, buyers) who are subject to sanctions?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
2) Does the legal entity have agents, branches, subsidiaries or joint ventures which are (a) directly or indirectly affected by applicable sanctions or (b) owned by or affiliated with sanctioned entities or persons, or c) located in a country affected by sanctions?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
3) Does the legal entity export goods to countries ¹ affected by sanctions?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
4) Please confirm that the legal entity does not conduct any transactions that would breach the applicable sanctions through Raiffeisen Bank dd Bosna i Hercegovina.	<input type="checkbox"/> I hereby confirm:	

Part I, II, III i IV:

In the event that there are changes regarding information on the beneficial owner, authorized persons, or information on the affiliation with offshore countries², you are under the obligation to notify the Bank on all changes in writing and as soon as possible and submit current and relevant documents.

Consent to disclose information:

With our signature, we confirm that Raiffeisen Bank d.d. Bosna i Hercegovina may disclose information from this Questionnaire, as well as KYC documents, to any member of the Group, RBI Group or Raiffeisen banking

¹ Countries affected by sanctions: Russia, Crimea, Syria, Iran, North Korea, Belarus, Cuba, Venezuela, Libya, Sudan

² Offshore countries: American Samoa, Andorra, Anguilla, Antigua and Barbuda, Aruba, Bahamas, Belize, Bermuda, Bonaire, British Virgin Islands, Cayman Islands, Cook Islands, Curacao, Cyprus, Fiji, Gibraltar, Guam, Guernsey, Isle of Man, Jersey, Labuan, Liechtenstein, Macau, Malaysia, Monaco, Montserrat, Palau, Panama, Russia, Saint Martin, Samoa, Seychelles, Trinidad and Tobago, Turks and Caicos, US Virgin Islands, Vanuatu

Group, as well as information on our direct or indirect owners and beneficial owners, which we have also provided to you as part of this Questionnaire, and for the purpose of compliance of Raiffeisen entities with the legal obligations regarding the identification of their customers, including the beneficial owners of their customers in the events as follows:

- Establishing, maintaining and analyzing the business relationship with us, affiliates or our owners; or
- Conducting transactions with us, affiliates or our owners; or
- Suspicions indicating that we, our affiliates or our owners have committed criminal offences of money laundering, financing of terrorist activities or other criminal offences regulated by positive regulations.

Date: _____

Name of legal entity

Seal and signature of the authorized person

Seal

Signatory's full name